# Exhibit A

### (12) United States Patent

### Stuntebeck

### US 8,713,646 B2 (10) Patent No.: (45) **Date of Patent:** Apr. 29, 2014

### (54) CONTROLLING ACCESS TO RESOURCES ON A NETWORK

- (76) Inventor: Erich Stuntebeck, Atlanta, GA (US)
- (\*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

- Appl. No.: 13/316,073
- (22)Filed: Dec. 9, 2011

#### **Prior Publication Data** (65)

Jun. 13, 2013 US 2013/0152169 A1

### (51) Int. Cl. G06F 7/04 (2006.01)(2006.01)G06F 15/16 G06F 17/30 (2006.01)H04L 29/06 (2006.01)

- (52) U.S. Cl.
- (58) Field of Classification Search See application file for complete search history.

#### (56)References Cited

### U.S. PATENT DOCUMENTS

4,679,226	A	7/1987	Muehleisen
5,237,614	A	8/1993	Weiss
5,446,888	A	8/1995	Pyne
5,574,786	A	11/1996	Dayan et al.
5,625,869	A	4/1997	Nagamatsu et al.
5,631,947	A	5/1997	Wittstein et al.
5,799,068	A	8/1998	Kikinis et al.
5,826,265	A	10/1998	Van Huben et al.
5,864,683	A	1/1999	Boebert et al.
5,870,459	A	2/1999	Phillips et al.

5,928,329	A	7/1999	Clark et al.
5,961,590	A	10/1999	Mendez et al.
5,966,081	A	10/1999	Chesnutt
5,974,238	A	10/1999	Chase, Jr.
5,987,609	A	11/1999	Hasebe
6,021,492	A	2/2000	May
6,023,708	A	2/2000	Mendez et al.
6,085,192	A	7/2000	Mendez et al.
6,131,096	A	10/2000	Ng et al.
6,131,116	A	10/2000	Riggins et al.
6,151,606	A	11/2000	Mendez
6,167,253	A	12/2000	Farris et al.
6,233,341	В1	5/2001	Riggins
6,269,369	В1	7/2001	Robertson
6,463,470	В1	10/2002	Mohaban et al.

### (Continued)

### FOREIGN PATENT DOCUMENTS

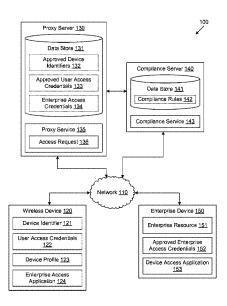
CA	2149337 A1	6/1994
GB	2346716 A	8/2000
WO	0241661 A2	5/2002

Primary Examiner — Venkat Perungavoor Assistant Examiner — Khang Do

### ABSTRACT

Disclosed are various embodiments for controlling access to data on a network. In one embodiment, a proxy service receives a request from a user on a client device to access a quantity of enterprise resources served up by an enterprise device. In response, the proxy service determines whether the user on the client device has been authenticated to access the enterprise resources. The proxy service also determines whether the client device from which the user requested the access is authorized to access the enterprise resources. Responsive to the determination that the user is authentic and that the client device is authorized, the proxy service associates a set of approved enterprise access credentials with the request and facilitates the transmission of the requested enterprise resources to the client device.

### 15 Claims, 4 Drawing Sheets



## US 8,713,646 B2 Page 2

(56)	Referen	ices Cited	8,046,823 B1		Begen et al.
U.S.	PATENT	DOCUMENTS	8,060,074 B2 8,069,144 B2		Danford et al. Quinlan et al.
			8,078,157 B2		Maurya et al.
6,480,096 B1 6,560,772 B1		Gutman et al. Slinger	8,094,591 B1 8,099,332 B2		Hunter et al. Lemay et al.
6,606,662 B2	8/2003	Nagasaki	8,117,344 B2		Mendez et al.
6,636,489 B1		Fingerhut Wood et al.	8,150,431 B2 8,166,106 B2		Wolovitz et al. Biggs et al.
6,668,322 B1 6,708,221 B1		Mendez et al.	8,225,381 B2	7/2012	Lemke
6,714,859 B2	3/2004		8,359,016 B2 8,433,620 B2		Lindeman et al. Futty et al.
6,726,106 B1 6,727,856 B1	4/2004	Han et al. Hill	8,504,831 B2	8/2013	Pratt et al.
6,741,232 B1	5/2004	Siedlikowski et al.	2002/0013721 A1		Dabbiere et al.
6,741,927 B2 6,766,454 B1	5/2004 7/2004	Jones Riggins	2002/0049644 A1 2002/0055967 A1		Kargman Coussement
6,779,118 B1	8/2004	Ikudome et al.	2002/0098840 A1		Hanson et al.
6,904,359 B2 6,965,876 B2	6/2005	Jones Dabbiere	2003/0065934 A1 2003/0110084 A1		Angelo et al. Eberhard et al.
6,995,749 B2		Friend	2003/0172166 A1	9/2003	Judge et al.
7,017,105 B2		Flanagin et al.	2003/0186689 A1 2003/0204716 A1		Herle et al. Rockwood et al.
7,032,181 B1 7,039,394 B2		Farcasiu Bhaskaran	2004/0098715 A1	5/2004	Aghera et al.
7,039,679 B2		Mendez et al.	2004/0123153 A1 2004/0181687 A1		Wright et al. Nachenberg et al 713/201
7,064,688 B2 7,092,943 B2		Collins et al. Roese et al.	2004/0131087 A1 2004/0224703 A1		Takaki et al.
7,184,801 B2	2/2007	Farcasiu	2005/0003804 A1		Huomo et al. Jauffred et al.
7,191,058 B2 7,203,959 B2		Laird et al. Nachenberg et al.	2005/0246192 A1 2006/0190984 A1		Heard et al.
7,225,231 B2	5/2007	Mendez et al.	2007/0033397 A1		Phillips, II et al.
7,228,383 B2 7,275,073 B2		Friedman et al. Ganji et al.	2007/0093243 A1 2007/0136492 A1		Kapadekar et al. Blum et al.
7,273,073 B2 7,284,045 B1		Marl et al.	2007/0156897 A1	7/2007	
7,287,271 B1	10/2007	Riggins	2007/0174433 A1 2007/0192588 A1		Mendez et al. Roskind et al.
7,308,703 B2 7,310,535 B1		Wright et al. MacKenzie et al.	2007/0260883 A1	11/2007	Giobbi et al.
7,353,533 B2		Wright et al.	2007/0288637 A1 2008/0051076 A1	2/2007	Layton et al. O'Shaughnessy et al.
7,363,349 B2 7,363,361 B2		Friedman et al. Tewari et al.	2008/0133712 A1	6/2008	Friedman et al.
7,373,517 B1	5/2008	Riggins	2008/0134296 A1 <sup>3</sup> 2008/0134305 A1 <sup>3</sup>		Amitai et al
7,437,752 B2 7,444,375 B2		Heard et al. McConnell et al.	2008/0134347 A1		Goyal et al.
7,447,506 B1	11/2008	MacKenzie et al.	2008/0201453 A1 2009/0036111 A1		Assenmacher Danford et al.
7,447,799 B2 7,475,152 B2		Kushner Chan et al.	2009/0030111 A1 2009/0144632 A1	6/2009	Mendez
7,480,907 B1	1/2009	Marolia et al.	2009/0198997 A1 2009/0253410 A1		Yeap et al. Fitzgerald et al.
7,496,957 B2 7,539,665 B2		Howard et al. Mendez	2009/0253410 A1 2009/0260064 A1 3	10/2009	McDowell et al 726/4
7,565,314 B2	7/2009	Borgeson et al.	2009/0300739 A1		Nice et al. Mendez et al.
7,590,403 B1 7,594,224 B2		House et al. Patrick et al.	2009/0307362 A1 2010/0005125 A1		Mendez et al.
7,603,547 B2	10/2009	Patrick et al.	2010/0005157 A1		Mendez et al.
7,603,548 B2 7,603,703 B2	10/2009	Patrick et al. Craft et al.	2010/0005195 A1 2010/0023630 A1		Mendez et al. Mendez et al.
7,617,222 B2		Coulthard et al.	2010/0100641 A1	4/2010	Quinlan et al.
7,620,001 B2 7,620,392 B1	11/2009	Ganji Maurya et al.	2010/0120450 A1 2010/0144323 A1	5/2010 6/2010	Herz Collins et al.
7,650,491 B2		Craft et al.	2010/0146269 A1	6/2010	Baskaran
7,660,902 B2		Graham et al.	2010/0254410 A1 2010/0268844 A1		Collins Quinlan et al.
7,665,118 B2 7,665,125 B2		Mann et al. Heard et al.	2010/0273456 A1	10/2010	Wolovitz et al.
7,685,645 B2		Doyle et al.	2010/0299152 A1 2010/0299362 A1		Batchu et al. Osmond
7,702,322 B1 7,702,785 B2		Maurya et al. Bruton, III et al.	2010/0299376 A1	11/2010	Batchu et al.
7,735,112 B2	6/2010	Kim et al.	2010/0299719 A1	11/2010	Burks et al. Etchegoven 726/7
7,735,122 B1 7,739,334 B1		Johnson et al. Ng et al.	2010/0323710 A1 2011/0004941 A1	1/2011	Mendez et al.
7,752,166 B2	7/2010	Quinlan et al.	2011/0082900 A1		Nagpal et al. Quinlan et al.
7,788,382 B1 7,792,297 B1*		Jones et al. Piccionelli et al 380/258	2011/0113062 A1 2011/0145932 A1		Nerger et al.
7,840,631 B2	11/2010	Farcasiu	2011/0153779 A1	6/2011	Mendez et al.
7,873,959 B2 7,890,091 B2		Zhu et al. Puskoor et al.	2011/0153799 A1 2011/0167474 A1	6/2011 7/2011	Ito Sinha et al.
7,912,896 B2		Wolovitz et al.	2011/0202589 A1	8/2011	Piernot et al.
7,917,641 B2		Crampton Phot et al.	2011/0202987 A1		Bauer-Hermann et al 726/7
7,970,386 B2 8,001,082 B1		Bhat et al. Muratov	2011/0225252 A1 2011/0270799 A1		Bhat et al. Muratov
8,012,219 B2	9/2011	Mendez et al.	2011/0276805 A1	11/2011	Nagpal et al.
8,041,776 B2	10/2011	Friedman et al.	2011/0296186 A1	12/2011	Wong et al.

### Case 1:14-cv-02281-SCJ Document 1-1 Filed 07/18/14 Page 4 of 15

## US 8,713,646 B2 Page 3

(56)	References Cited	2012/0015644 A1 2012/0102392 A1		
U.	S. PATENT DOCUMENTS		8/2012	Fredette et al 726/19
2011/0320552 A 2012/0005578 A	l 12/2011 Friedman et al. l 1/2012 Hawkins	* cited by examiner	0/2012	Within Ct at.

U.S. Patent

Apr. 29, 2014

Sheet 1 of 4

US 8,713,646 B2

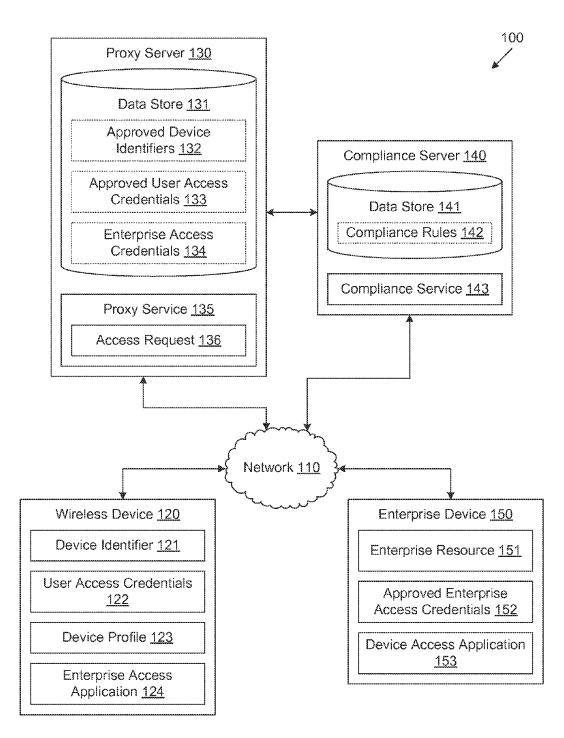


FIG. 1

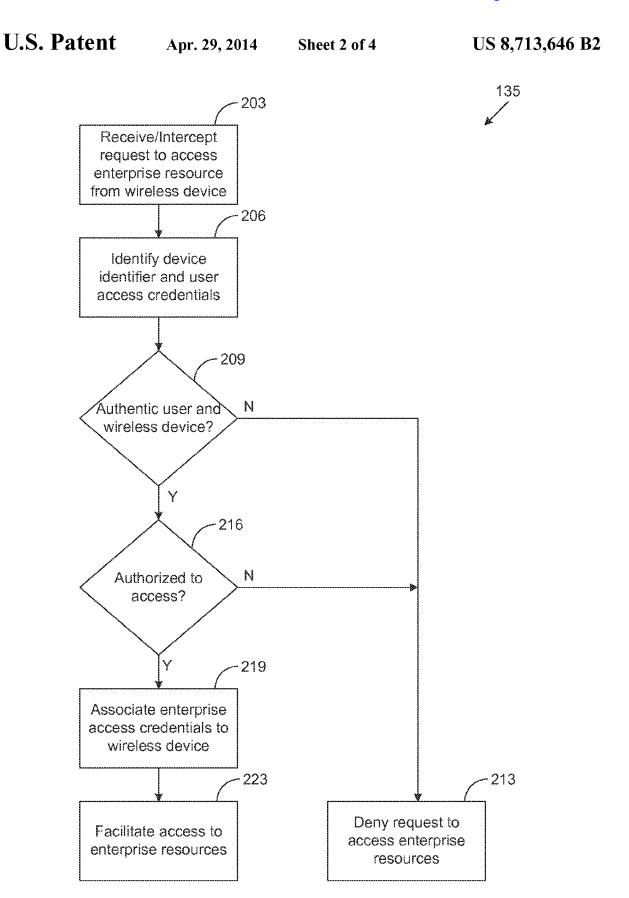


FIG. 2

U.S. Patent

Apr. 29, 2014

Sheet 3 of 4

US 8,713,646 B2

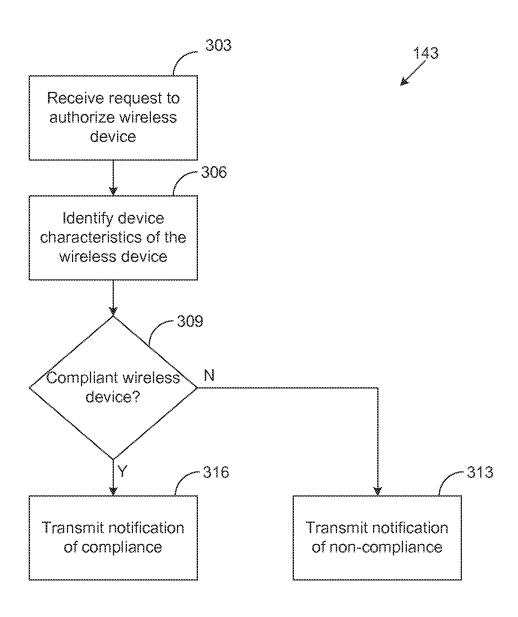


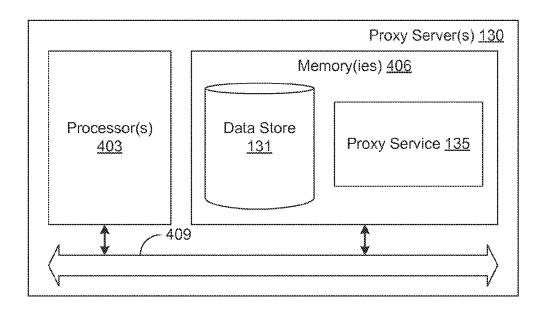
FIG. 3

U.S. Patent

Apr. 29, 2014

Sheet 4 of 4

US 8,713,646 B2



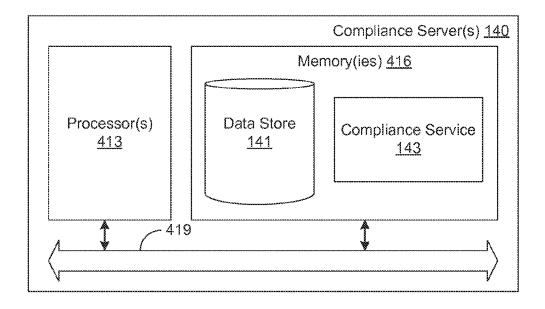


FIG. 4

1

## CONTROLLING ACCESS TO RESOURCES ON A NETWORK

### **BACKGROUND**

Controlling access to enterprise resources by network-connected devices is critical to ensure that only authenticated and authorized users and devices gain access to sensitive information or services. To date, this has typically been accomplished by utilizing network firewalls, reverse proxy servers 10 with authentication, and encrypted VPN tunnels. Today, however, enterprise resources are being moved out of enterprisemanaged data centers and into the "Cloud." These Cloudbased network environments may not provide the configurability and customization necessary to sufficiently protect enterprise resources. For instance, protecting enterprise-managed data centers at a device level can be problematic. Cloud-based data services often do not provide the necessary features to allow enterprises to control access to the service at a device level, but rather permit access, without 20 restriction as to any device, with proper user-level access controls.

### BRIEF DESCRIPTION OF THE DRAWINGS

Many aspects of the present disclosure can be better understood with reference to the following diagrams. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating certain features of the disclosure. Moreover, in the drawings, like reference on unmerals designate corresponding parts throughout the several views.

FIG. 1 is a block diagram of a networked environment according to certain exemplary embodiments of the present disclosure.

FIG. 2 is a flowchart illustrating exemplary functionality implemented as portions of a proxy service executed by a proxy server in the networked environment of FIG. 1 according to certain embodiments of the present disclosure.

FIG. 3 is a flowchart illustrating exemplary functionality 40 implemented as portions of a compliance service executed by a compliance server in the networked environment of FIG. 1 according to certain embodiments of the present disclosure.

FIG. **4** is a schematic block diagram illustrating a proxy server and compliance server employed in the networked <sup>45</sup> environment of FIG. **1** according to certain embodiments of the present disclosure.

### **DETAILED DESCRIPTION**

Disclosed are various embodiments for a system and associated devices and methods for controlling access to enterprise resources. The exemplary system comprising a proxy server and a compliance server, which authorize and authenticate devices for granting access to the enterprise resources 55 based in part on device identifiers and access credentials. In one embodiment, an enterprise device serves up enterprise resources to users if the user provides the appropriate enterprise access credentials. However, certain devices from which users may access the enterprise resources may not 60 qualify to access data in the enterprise device. Thus, even though the user may have user-level access, insufficient device-level access may prevent the user from accessing the enterprise resources. A proxy service authenticates the user and the wireless device from which the user requests the 65 access based on one or more user access credentials and a unique device identifier associated with the wireless device.

2

The proxy service may then communicate with a compliance service to authorize the wireless device by determining whether the wireless device complies with hardware, software, device management restrictions, and the like, defined in a set of compliance rules. Upon authenticating and authorizing both the user and the wireless device, the proxy server then associates the user's access request with enterprise access credentials and facilitates the access to the enterprise resource.

FIG. 1 illustrates a networked environment 100 according to various embodiments. The networked environment 100 includes a network 110, a wireless device 120, a proxy server 130, a compliance server 140, and an enterprise device 150. The network 110 includes, for example any type of wireless network such as a wireless local area network (WLAN), a wireless wide area network (WWAN), or any other type of wireless network now known or later developed. Additionally, the network 110 includes the Internet, intranets, extranets, microwave networks, satellite communications, cellular systems, PCS, infrared communications, global area networks, or other suitable networks, etc., or any combination of two or more such networks. Embodiments of the present invention are described below in connection with WWANs (as illustrated in FIG. 1); however, it should be understood that embodiments of the present invention may be used to advantage in any type of wireless network.

In one embodiment, the network 110 facilitates the transport of data between one or more client devices, such as wireless device 120, the proxy server 130, the compliance server 140, and the enterprise device 150. Other client devices may include a desktop computer, a laptop computer, a personal digital assistant, a cellular telephone, a set-top step, music players, web pads, tablet computer systems, game consoles, and/or other devices with like capability. Wireless device 120 comprises a wireless network connectivity component, for example, a PCI (Peripheral Component Interconnect) card, USB (Universal Serial Bus), PCMCIA (Personal Computer Memory Card International Association) card, SDIO (Secure Digital Input-Output) card, NewCard, Cardbus, a modem, a wireless radio transceiver, and/or the like. Additionally, the wireless device 120 may include a processor for executing applications and/or services, and a memory accessible by the processor to store data and other information. The wireless device 120 is operable to communicate wirelessly with the proxy server 130 and the enterprise device 150 with the aid of the wireless network connectivity com-

Additionally, the wireless device 120 may store in memory a device identifier 121, user access credentials 122, a device profile 123, and potentially other data. In one embodiment, the device identifier 121 may include a software identifier, a hardware identifier, and/or a combination of software and hardware identifiers. For instance, the device identifier 121 may be a unique hardware identifier such as a MAC address, a CPU ID, and/or other hardware identifiers. The user access credentials 122 may include a username, a password, and/or biometric data related to facial recognition, retina recognition, fingerprint recognition, and the like. Additionally, the device profile 123 may include a listing of hardware and software attributes that describe the wireless device 120. For instance, the device profile 123 may include hardware specifications of the wireless device 120, version information of various software installed on the wireless device 120, and/or any other hardware/software attributes. Additionally, the device profile 123 may also include data indicating a date of

last virus scan, a date of last access by IT, a date of last tune-up by IT, and/or any other data indicating a date of last device

3

The wireless device 120 may further be configured to execute various applications such as an, and an enterprise 5 access application 124. The enterprise access application 124 may be executed to transmit a request for access to the enterprise resources 151 available on the enterprise device 150. The wireless device 120 may be configured to execute client side applications such as, for example, a browser, email applications, instant message applications, and/or other applications. For instance, the browser may be executed in the wireless device 120, for example, to access and render network pages, such as web pages, or other network content served up by proxy server 130, the compliance server 140, the enterprise device 150, and/or any other computing system.

In one embodiment, the enterprise device 150 represents an enterprise level computing device that stores and serves up enterprise resources 151, and/or performs other enterprise level functions. For instance, the enterprise device 150 may 20 store in memory enterprise resources 151, a listing of approved enterprise access credentials 152, and potentially other data. The enterprise resources 151 may be stored in the device, a database accessible by the device, and/or other storage facility in data communication with the enterprise 25 device 150. In one embodiment, the enterprise resources 151 may include any type of enterprise data, such as, for instance, enterprise documents, files, file systems, and/or any other type of data. In another embodiment, the enterprise resource 151 may include enterprise level applications and services 30 that may be accessed and executed on an accessing device. For instance, a user operating the accessing device (e.g., wireless device 120) may invoke the enterprise level application that is then executed on the accessing device. The listing of approved enterprise access credentials 152 may be a list of 35 pre-approved enterprise access credentials that provide access to the enterprise resources 151.

The enterprise device 150 may further be configured to execute various applications such as a device access application 153. The device access application 153 may be executed 40 to receive a request for access to the enterprise resources 151 and determine whether to grant the requested access. For instance, the device access application 153 may receive the request for access from the wireless device 120, the proxy server 130, the compliance server 140, and/or any other com- 45 puting system. In response, the device access application 153 may then determine whether the enterprise access credentials associated with the requesting device match one or more of the credentials included in the listing of approved enterprise access credentials 152. Based on this determination, the 50 device access application 153 may grant the request device with access to the enterprise resources 151. The enterprise device 150 may be configured to execute other enterprise side applications and/or services such as, a mail service, an internet service, a messaging service, and/or other services.

The proxy server 130 and the compliance server 140 can be implemented as, for example, a server computer or any other system capable of providing computing capability. Further, the proxy server 130 may be configured with logic for performing the methods described herein. Although one proxy server 130 and one compliance server 140 is depicted, certain embodiments of the networked environment 100 include more than one proxy server 130 and compliance server 140. One or more of the servers may be employed and arranged, for example, in one or more server banks or computer banks or other arrangements. For example, the server computers together may include a cloud computing resource, a grid

computing resource, and/or any other distributed computing arrangement. Such server computers may be located in a single installation or may be distributed among many different geographical locations. For purposes of convenience, the

4

ent geographical locations. For purposes of convenience, the proxy server 130 and the compliance server 140 are referred to herein in the singular.

Venieus en liestiens

Various applications and/or other functionality may be executed in the proxy server 130 and the compliance server 140, respectively, according to certain embodiments. Also, various data is stored in a data store 131 that is accessible to the proxy server 130 and/or a data store 141 accessible to the compliance server 140. The data stored in each of the data stores 131 and 141, for example, may be accessed, modified, removed, and/or otherwise manipulated in association with the operation of the applications and/or functional entities described herein.

The components executed in the proxy server 130 include a proxy service 135, and may include other applications, services, processes, systems, engines, or functionality not discussed in detail herein. As used herein, the term "proxy service" is meant to generally refer to computer-executable instructions for performing the functionality described herein for authenticating user access credentials and device identifiers. The proxy service 135 is executed to receive an access request 136 from a wireless device 120 for accessing enterprise resources 151 and to determine whether to grant or deny the access request 136. Upon determining to grant the access request 136, the proxy service 135 may then associate the wireless device 120 with necessary enterprise access credentials to access the enterprise resource 151, as will be described.

The data stored in data store 131 may include, for example, approved device identifiers 132, approved user access credentials 133, approved enterprise access credentials 134, and potentially other data. The approved device identifiers 132 represents a listing of device identifiers 121 that have been pre-approved for accessing the enterprise resources 151 in the enterprise device 150. For instance, the approved device identifiers 132 may have been previously provided to the proxy server 130. The approved user access credentials 133 represents a listing of user access credentials 122 that have been pre-approved for accessing the enterprise resources 151 of the enterprise device 150. Additionally, the enterprise access credentials 134 may provide access to the enterprise resources 151 when associated with the access request 136.

The components executed in the compliance server 140 include a compliance service 143, and may include other applications, services, processes, systems, engines, or functionality not discussed in detail herein. As used herein, the term "compliance service" is meant to generally refer to computer-executable instructions for performing the functionality described herein for authorizing the device characteristics of the requesting device. The compliance service 143 is executed to determine whether the device characteristics of 55 the wireless device 120 comply with the compliance rules 142 that are stored in the data store 141. For instance, the compliance service 143 may identify the device characteristics from the device profile 123 of each wireless device 120. Additionally, the compliance rules 142 represents a listing of hardware restrictions, software restrictions, and/or mobile device management restrictions that need to be satisfied by the wireless device 120.

In one embodiment, hardware restrictions included in the compliance rules 142 may comprise restrictions regarding use of specific wireless devices 120 and specific wireless device features, such as, for instance, cameras, Bluetooth, IRDA, tethering, external storage, a mobile access point,

5

and/or other hardware restrictions. Software restrictions included in the compliance rules 142 may comprise restrictions regarding the use of specific wireless device operating systems or applications, internet browser restrictions, screen capture functionality, and/or other software restrictions. 5 Mobile device management restrictions included in the compliance rules 142 comprise encryption requirements, firmware versions, remote lock and wipe functionalities, logging and reporting features, GPS tracking, and/or other mobile device management features.

The compliance service 143 may determine whether the device characteristics of a requesting device (e.g. wireless device 120) satisfy one or more of the restrictions enumerated in the compliance rules 142. For example, the compliance service 143 may determine that a requesting device that has a 15 camera, Bluetooth capability, and is executing a specified version of an operating system is compliant with the compliance rules 142. As another example, the compliance service 143 may determine that a requesting device that is associated with an external storage unit and has screen capture functionality enabled is not compliant with the compliance rules 142.

A user operating a wireless device 120 may wish to access data or another resource on the enterprise device 150, such as enterprise resources 151. In one embodiment, the user may manipulate a network page rendered on a display associated 25 with the wireless device 120 to transmit the access request 136 to request access to the enterprise resources 151. In another embodiment, the user may manipulate a user interface generated by a local executed application. The user may provide login information, such as, for instance, a unique user 30 name, a password, biometric data, and/or other types of user access credentials 122 and request to access the enterprise resources 151 stored on the enterprise device 150. The enterprise access application 124 may transmit the access request 136 to access the enterprise resources 151 to the proxy service 35 135. In another embodiment, the enterprise access application 124 may transmit the request 136 to access the enterprise resources 151 directly to the enterprise device 150. In this embodiment, the device access application 153 receive the request and re-route the request to the proxy server 130.

Upon receiving the request 136, the proxy service 135 determines whether to grant or deny the access request 136. In one embodiment, the proxy service 135 may first authenticate the wireless device 120 and the user operating the wireless device 120. To this end, the proxy service 135 determines 45 whether the device identifier 121 associated with the wireless device 120 matches one of the identifiers listed in the listing of approved identifiers 132. For instance, the device identifier 121 of the wireless device 120 may be included as part of the request 136 transmitted by the enterprise access application 50 124. In another embodiment, the proxy service 135 may request to receive the device identifier 121 in response to receiving the access request 136. Upon identifying and/or receiving the device identifier 121, the proxy service 135 determines whether the device identifier 121 matches one of 55 the approved identifiers 132 stored in the data store 131. In another embodiment, the proxy service 135 may authenticate the wireless device 120 dynamically by determining whether the device identifier 121 is within a predetermined range of approved device identifiers 132. In yet another embodiment, 60 the proxy service 135 may authenticate the wireless device 120 dynamically by performing an algorithm on the device identifier 121.

Additionally, the proxy service 135 may also authenticate the user operating the wireless device 120 by determining 65 whether the user access credentials 122 associated with the user matches one of the credentials in the listing of approved

6

user access credentials 133. For instance, the user access credentials 122 associated with the user on the wireless device 120 may be included as part of the request 136 transmitted by the enterprise access application 124. In another embodiment, the proxy service 135 may request to receive the user access credentials 122 in response to receiving the access request 136. Upon identifying and/or requesting the user access credentials 122, the proxy service 135 may identify the user access credentials 122 from the request 136 and determine whether the user access credentials 122 matches one of the approved user access credentials 133 stored in the data store 131.

Having authenticated the wireless device 120 and the user operating the wireless device 120, the proxy service 135 communicates with the compliance service 143 to authorize the wireless device 120 for accessing the enterprise resources 151. In one embodiment, the compliance service 143 authorizes the wireless device 120 by determining whether the device characteristics of the wireless device 120 comply with the compliance rules 142. For instance, the compliance service 143 identifies the device characteristics of the wireless device 120 from the device profile 123. The compliance service 143 then analyzes the device characteristics to determine whether the software restrictions, hardware restrictions, and/ or device management restrictions defined in the compliance rules 142 are satisfied and returns the result of the determination to the proxy service 135. In an alternative embodiment, the proxy service 135 may determine whether the wireless device 120 complies with the compliance rules 143.

If the proxy service 135 determines or receives a determination that the wireless device 120 is authorized, the proxy service 135 then associates the wireless device 120 with one or more enterprise access credentials 134. In one embodiment, the proxy service 135 modifies the access request 136 transmitted by the enterprise access application 124 by replacing the user access credentials 122 with one or more enterprise access credentials 134. In another embodiment, the proxy service 135 may modify the access request 136 by also replacing the device identifier 121. The proxy service 135 then relays the access request 136 with the approved enterprise access credentials 134 to the enterprise device 150 for processing.

In one embodiment, the device access application 153 in the enterprise device 150 receives the modified access request 136 from the proxy service 135 and serves up the requested enterprise resources 151 to the proxy service 135. For instance, the device access application 153 determines whether the enterprise access credentials 134 inserted to the access request 136 matches one or more of the approved enterprise access credentials 152. Upon determining that the enterprise access credentials 134 match one of the approved enterprise access credentials 152, the device access application 153 serves up the requested quantity of enterprise resources 151. In response, the proxy service 135 relays the requested enterprise resources 151 to the wireless device 120. In another embodiment, the device access application 153 may serve up the requested enterprise resources 151 directly to the wireless device 120.

FIG. 2 is a flowchart illustrating an exemplary method performed by a portion of the proxy service 135 according to various embodiments. It is to be understood that the flowchart of FIG. 2 provides merely an example of the many different types of functional arrangements that may be employed to implement the proxy service 135 as described herein.

Beginning with step 203, the proxy service 135 receives an access request 136 (FIG. 1) from the enterprise access application 124 (FIG. 1) executed by a wireless device 120 (FIG. 1)

7

to access enterprise resources 151 (FIG. 1) served up by the enterprise device 150 (FIG. 1). Then, in step 206, the proxy service 135 identifies a device identifier 121 (FIG. 1) associated with the wireless device 120 and the user access credentials 122 (FIG. 1) of the user operating the wireless device 5 120. In one embodiment, the device identifier 121 and the user access credentials 122 may be received by the proxy service 135 in conjunction with the request 136. In another embodiment, the proxy service 135 may separately request the device identifier 121 and the user access credentials 122 from the wireless device 120.

Next, in step 209, the proxy service 135 determines whether the wireless device 120 and the user operating the wireless device 120 are authentic. In one embodiment, the proxy service 135 determines whether device identifier 121 associated with the wireless device 120 matches one of the identifiers included in the listing of approved device identifiers 132 (FIG. 1). Additionally, the proxy service 135 determines whether the user access credentials 122 associated with the user matches one of the credentials included in the 20 approved user access credentials 133 (FIG. 1). If the proxy service 135 is unable to match either one of the device identifier 121 and the user access credentials 122 with the approved device identifiers 132 and the approved user access credentials 133, respectively, then the proxy service 135 pro- 25 ceeds to step 213 and denies the access request 136 to access the enterprise resources 151.

However, if the proxy service 135 matches both the device identifier 121 and the user access credentials 122 with the approved device identifiers 132 and the approved user access oredentials 133, respectively, then the proxy service 135 proceeds to step 216. In step 216, the proxy service 135 determines whether the wireless device 120 is authorized to access the requested enterprise resources 151. As mentioned, the proxy service 135 may communicate with the compliance service 143 to determine whether the wireless device 120 is authorized to access the enterprise resources 151 on the enterprise device 150, as described above. If the proxy service 135 determines that the wireless device 120 is not authorized, then the proxy service proceeds to step 213 and denies the request 40 136 to access the enterprise resources 151.

However, if the proxy service 135 determines that the wireless device 120 is authorized to access the enterprise resources 151, then the proxy service proceeds to step 219. In step 219, the proxy service 135 associates one set of enterprise access credentials 134 (FIG. 1) with the access request 136. For instance, the proxy service 135 may modify the original access request 136 transmitted by the enterprise access application 124 to remove the user access credentials 122 and insert the enterprise access credentials 134.

Then, in step 223, the proxy service 135 facilitates accessing the enterprise resources 121 for the wireless device 120. In one embodiment, the proxy service 135 relays the modified access request 136 to the device access application 153 of the enterprise device 150. In response, the proxy service 135 may 55 receive the requested enterprise resources 151 from the device access application 153 if the enterprise access credentials 134 match one of the approved enterprise access credentials 152. Upon receiving the requested enterprise resources 151, the proxy service 135 may communicate to the requested enterprise resources 151 to the enterprise access application 124. In another embodiment, the device access application 153 may communicate the requested enterprise resources 151 directly to the enterprise access application 124.

FIG. **3** is a flowchart illustrates an example of a method 65 performed by compliance service **143** according to certain embodiments. It is to be understood that the flowchart of FIG.

8

3 provides merely an example of the many different types of functional arrangements that may be employed to implement the compliance service 143 as described herein.

Beginning with step 303, the compliance service 143 receives a request from the proxy service 135 (FIG. 1) to authorize a wireless device 120 (FIG. 1) for accessing enterprise resources 151 (FIG. 1) served up by the enterprise device 150 (FIG. 1). Then, in step 306, the compliance service 143 identifies the device characteristics of the wireless device 120 (FIG. 1). For instance, the compliance service 143 may determine the device characteristics from the device profile 123 (FIG. 1) of the wireless device 120. In one embodiment, the compliance service 143 may receive the device profile 123 from the proxy service 135 in conjunction with the access request 136. In another embodiment, the compliance service 143 may transmit a request to the wireless device 120 to receive the device profile 123. In yet another embodiment, the device profile 123 of each wireless device 120 in the networked environment 100 (FIG. 1) may be stored in the data store 141 (FIG. 1) of the compliance server 140. In this embodiment, the compliance service 143 may access the local copy of the device profile 123. Further, the local copy of the device profile 123 may be periodically updated from the respective wireless device 120.

Next, in step 309, the compliance service 143 determines whether the wireless device 120 is complaint with the compliance rules 143 (FIG. 1) and is therefore authorized to access the enterprise resources 151. In one embodiment, the compliance service 143 determines that the wireless device 120 is compliant if the device characteristics of the wireless device 120 satisfy the compliance rules 142 required to access the enterprise device 150. For instance, the compliance rules 142 may comprise hardware restrictions, software restrictions, and device management restrictions. If the compliance service 143 determines that the wireless device 120 is not compliant, then in step 313 the compliance service 143 transmits a notification that the wireless device 120 failed the compliance check. However, if the compliance service 143 determines that the wireless device is compliant, then in step 316 the compliance service 143 transmits a notification to the proxy service 135 that the wireless device 120 passed the compliance check.

With reference to FIG. 4, shown is a schematic block diagram of the proxy server 130 and the compliance server 140 according to an embodiment of the present disclosure. The proxy server 130 includes at least one processor circuit, for example, having a processor 403 and a memory 406, both of which are coupled to a local interface 409. Additionally, the compliance server 140 includes at least one processor circuit, for example, having a processor 413 and a memory 416, both of which are coupled to a local interface 419 To this end, the proxy server 130 and the compliance server 140 may comprise, for example, at least one server computer or like device. The local interfaces 409 and 419 may comprise, for example, a data bus with an accompanying address/control bus or other bus structure as can be appreciated.

Stored in the memories 406 and 416 are both data and several components that are executable by the processors 403 and 413. In particular, stored in the memory 406/416 and executable by the processors 403 and 413 are a proxy service 135, a compliance service 143, and potentially other applications. Also stored in the memories 406 and 416 may be a data stores 131 and 141 and other data. In addition, an operating system may be stored in the memories 406 and 416 and executable by the processors 403 and 413.

It is to be understood that there may be other applications that are stored in the memories 406 and 416 and are execut-

g

able by the processors **403** and **413** as can be appreciated. Where any component discussed herein is implemented in the form of software, any one of a number of programming languages may be employed such as, for example, C, C++, C#, Objective C, Java, Javascript, Perl, PHP, Visual Basic, 5 Python, Ruby, Delphi, Flash, or other programming languages.

A number of software components are stored in the memories 406 and 416 and are executable by the processors 403 and 413. In this respect, the term "executable" means a program 10 file that is in a form that can ultimately be run by the processors 403 and 413. Examples of executable programs may be, for example, a compiled program that can be translated into machine code in a format that can be loaded into a random access portion of the memories 406 and 416 and run by the 15 processors 403 and 413, source code that may be expressed in proper format such as object code that is capable of being loaded into a random access portion of the memory 406/416 and executed by the processors 403 and 413, or source code that may be interpreted by another executable program to 20 generate instructions in a random access portion of the memories 406 and 416 to be executed by the processors 403 and 413, etc. An executable program may be stored in any portion or component of the memories 406 and 416 including, for example, random access memory (RAM), read-only 25 memory (ROM), hard drive, solid-state drive, USB flash drive, memory card, optical disc such as compact disc (CD) or digital versatile disc (DVD), floppy disk, magnetic tape, or other memory components.

The memories 406 and 416 is defined herein as including 30 both volatile and nonvolatile memory and data storage components. Volatile components are those that do not retain data values upon loss of power. Nonvolatile components are those that retain data upon a loss of power. Thus, the memories 406 and 416 may comprise, for example, random access memory 35 (RAM), read-only memory (ROM), hard disk drives, solidstate drives, USB flash drives, memory cards accessed via a memory card reader, floppy disks accessed via an associated floppy disk drive, optical discs accessed via an optical disc drive, magnetic tapes accessed via an appropriate tape drive, 40 and/or other memory components, or a combination of any two or more of these memory components. In addition, the RAM may comprise, for example, static random access memory (SRAM), dynamic random access memory (DRAM), or magnetic random access memory (MRAM) and 45 other such devices. The ROM may comprise, for example, a programmable read-only memory (PROM), an erasable programmable read-only memory (EPROM), an electrically erasable programmable read-only memory (EEPROM), or other like memory device.

Also, the processors 403 and 413 may represent multiple processors, and the memories 406 and 416 may represent multiple memories that operate in parallel processing circuits, respectively. In such a case, the local interfaces 409 and 419 may be an appropriate network 109 (FIG. 1) that facilitates communication between any two of the multiple processors 403 and 413, or between any two of the memories 406 and 416, etc. The local interfaces 409 and 419 may comprise additional systems designed to coordinate this communication, including, for example, performing load balancing. The 60 processors 403 and 413 may be of electrical or of some other available construction.

Although the proxy service 135, the compliance service 143, and other various systems described herein may be embodied in software or code executed by general purpose 65 hardware as discussed above, as an alternative the same may also be embodied in dedicated hardware or a combination of

10

software/general purpose hardware and dedicated hardware. If embodied in dedicated hardware, each can be implemented as a circuit or state machine that employs any one of or a combination of a number of technologies. These technologies may include, but are not limited to, discrete logic circuits having logic gates for implementing various logic functions upon an application of one or more data signals, application specific integrated circuits having appropriate logic gates, or other components, etc. Such technologies are generally well known by those skilled in the art and, consequently, are not described in detail herein.

The flowcharts of FIGS. 2 and 3 show the functionality and operation of an implementation of portions of the proxy service 135 and the compliance service 143, respectively. If embodied in software, each box may represent a module, segment, or portion of code that comprises program instructions to implement the specified logical function(s). The program instructions may be embodied in the form of source code that comprises human-readable statements written in a programming language or machine code that comprises numerical instructions recognizable by a suitable execution system such as a processors 403 and 413 in a computer system or other system. The machine code may be converted from the source code, etc. If embodied in hardware, each block may represent a circuit or a number of interconnected circuits to implement the specified logical function(s).

Although the flowcharts of FIGS. 2 and 3 show a specific order of execution, it is understood that the order of execution may differ from that which is depicted. For example, the order of execution of two or more blocks may be scrambled relative to the order shown. Also, two or more blocks shown in succession in FIGS. 2 and 3 may be executed concurrently or with partial concurrence. Further, in some embodiments, one or more of the blocks shown in FIGS. 2 and 3 may be skipped or omitted. In addition, any number of counters, state variables, warning semaphores, or messages might be added to the logical flow described herein, for purposes of enhanced utility, accounting, performance measurement, or providing troubleshooting aids, etc. It is understood that all such variations are within the scope of the present disclosure.

Also, any logic or application described herein, including the proxy service 135 and the compliance service 143, that comprises software or code can be embodied in any nontransitory computer-readable medium for use by or in connection with an instruction execution system such as, for example, a processors 403 and 413 in a computer system or other system. In this sense, the logic may comprise, for example, statements including instructions and declarations that can be fetched from the computer-readable medium and executed by the instruction execution system. In the context of the present disclosure, a "computer-readable medium" can be any medium that can contain, store, or maintain the logic or application described herein for use by or in connection with the instruction execution system. The computer-readable medium can comprise any one of many physical media such as, for example, magnetic, optical, or semiconductor media. More specific examples of a suitable computer-readable medium would include, but are not limited to, magnetic tapes, magnetic floppy diskettes, magnetic hard drives, memory cards, solid-state drives, USB flash drives, or optical discs. Also, the computer-readable medium may be a random access memory (RAM) including, for example, static random access memory (SRAM) and dynamic random access memory (DRAM), or magnetic random access memory (MRAM). In addition, the computer-readable medium may be a read-only memory (ROM), a programmable read-only memory (PROM), an erasable programmable read-only

11

memory (EPROM), an electrically erasable programmable read-only memory (EEPROM), or other type of memory device

It should be emphasized that the above-described embodiments of the present disclosure are merely possible examples of implementations set forth for a clear understanding of the principles of the disclosure. Many variations and modifications may be made to the above-described embodiment(s) without departing substantially from the spirit and principles of the disclosure. All such modifications and variations are 10 intended to be included herein within the scope of this disclosure and protected by the following claims.

Therefore, the following is claimed:

- 1. A system, comprising:
- a proxy server that receives a request from a user of one of a plurality of client devices to access at least one enterprise resource provided by an enterprise device on a network, wherein the request comprises a set of user access credentials associated with the user and a device 20 identifier associated with the one of the plurality of client devices, the proxy server being configured to:
  - store a copy of a plurality of device profiles respectively associated with the plurality of client devices,
  - receive periodic updates to the plurality of device pro- 25 files from the respectively associated plurality of client devices, and
  - authenticate the user and the client device to determine whether the user is authorized to access to access the requested at least one enterprise resource from the 30 client device, wherein the proxy server authenticates the user based at least in part on the set of user access credentials associated with the user and authenticates the client device based at least in part on the device identifier associated with the client device; 35
- a compliance service that authorizes the client device to communicate with the enterprise device in response to the proxy server authenticating the user and the client device, wherein the compliance service authorizes the client device based at least in part on a determination of whether the periodically updated device profile associated with the client device stored on the proxy server is in compliance with at least one compliance rule; and

wherein the proxy server is further configured to:

- modify the request to remove the user access credentials 45 and insert a set of approved enterprise access credentials.
- transmit the modified request to the enterprise device if the client device is authorized to communicate with the enterprise device and the user has permission to 50 access the at least one enterprise resource from the client device.
- receive the at least one enterprise resource provided by the enterprise device; and
- transmitting the at least one enterprise resource to the 55 client device.
- 2. The system of claim 1, wherein the user access credentials provide the user with access to the proxy server.
- 3. The system of claim 1, wherein the user access credentials are insufficient alone to provide the user with access to 60 the enterprise device.
- 4. The system of claim 1, wherein the proxy server authenticates the user and the client device by determining whether the user access credentials match at least one of a plurality of approved user access credentials and determining whether 65 the device identifier matches at least one of a plurality of approved identifiers.

**12** 

- 5. The system of claim 4, wherein the approved user access credentials and the approved device identifiers are stored in a data store accessible to the proxy server.
- **6**. The system of claim **1**, wherein the at least one compliance rule is specific to the at least one enterprise resource.
- 7. The system of claim 1, wherein the at least one compliance rule comprises at least one of a plurality of hardware restrictions, a plurality of software restrictions, and a plurality of device management restrictions.
- 8. The system of claim 1, wherein the user access credentials comprise a user name, a password, and biometric data associated with at least one of facial recognition, retina recognition, and fingerprint recognition.
  - 9. A method comprising:
- receiving a request from a user of a client device to access a quantity of enterprise resources provided by an enterprise device, the request comprising a set of user access credentials associated with the user and a device identifier associated with the client device;
- authenticating the user based at least in part on the user access credentials and the client device based at least in part on the device identifier;
- in response to authenticating the user and the client device, determining whether the client device is authorized to access the requested quantity of enterprise resources, wherein determining whether the client device is authorized comprises determining whether a periodically updated device profile associated with the client device is in compliance with at least one compliance rule;
- modifying the request to remove the user access credentials and insert a set of approved enterprise access credentials:
- transmitting the modified request to the enterprise device to receive the requested quantity of enterprise resources;
- receiving the requested quantity of enterprise resources from the enterprise device; and
- transmitting the requested quantity of enterprise resources to the client device.
- device, wherein the compliance service authorizes the client device based at least in part on a determination of whether the periodically updated device profile associ-
  - 11. The method of claim 9, wherein the at least one compliance rule comprises one of a plurality of compliance rules comprising at least one of a plurality of software restrictions, a plurality of hardware restrictions, and a plurality of device management restrictions.
  - 12. A non-transitory computer-readable medium embodying a program executable in a computing device, the program, when executed, performing a method comprising:
    - receiving a request from a user of a client device to access a quantity of enterprise resources provided by an enterprise device, the request comprising a set of user access credentials associated with the user and a device identifier associated with the client device;
    - authenticating the user based at least in part on the user access credentials and the client device based at least in part on the device identifier;
    - in response to authenticating the user and the client device, determining whether the client device is authorized to access the requested quantity of enterprise resources, wherein determining whether the client device is authorized comprises determining whether a periodically updated device profile associated with the client device is in compliance with at least one compliance rule;
    - modifying the request to remove the user access credentials and insert a set of approved enterprise access credentials;

13

20

transmitting the modified request to the enterprise device to receive the requested quantity of enterprise resources; receiving the requested quantity of enterprise resources from the enterprise device; and

transmitting the requested quantity of enterprise resources 5 to the client device.

- 13. The computer readable medium of claim 12, wherein the user access credentials are insufficient alone to provide the user with access to the requested quantity of enterprise resources.
- 14. The computer readable medium of claim 12, wherein the at least one compliance rule comprises one of a plurality of compliance rules comprising at least one of a plurality of hardware restrictions, a plurality of software restrictions, and a plurality of device management restrictions.
- 15. The computer readable medium of claim 12, wherein the user access credentials comprise a user name, a password, and biometric data related to at least one of facial recognition, fingerprint recognition, and retina recognition.

\* \* \* \* \*